



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*L. Paulk*  
*11-16-02*  
*#12/supl.*  
*IDS*

Application of: Roger BERGER *et al.*

Application No.: 09/788,639

Group Art Unit: 3738

Filed: February 21, 2001

Examiner: Not yet assigned

For: OCCIPITAL PLATE AND SYSTEM Attorney Docket No.: 8932-320  
FOR SPINAL STABILIZATION

**THIRD INFORMATION DISCLOSURE STATEMENT** **RECEIVED**  
NOV 14 2002

Assistant Commissioner for Patents  
Washington, D.C. 20231

TECHNOLOGY CENTER R3700

Sir:

Pursuant to Applicants' duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby invite the Examiner's attention to the enclosed List of References Cited by Applicant listing 1 reference. A copy of this reference is enclosed.

Applicants respectfully request that the Examiner review the reference and make it of record in the present application by completing and returning the revised Form PTO-1449.

As this statement is being submitted before the first Office Action, no fee is believed to be due. Should any fees be required, however, please charge such fees to Pennie & Edmonds Deposit Account No. 16-1150.

Respectfully submitted,

*Seth A. Watkins*

*For: Brian M. Rothery*

Date October 22, 2002

Seth A. Watkins

Reg. No. 47,169

For: Brian M. Rothery

Reg. No. 35,340

**PENNIE & EDMONDS LLP**

1667 K Street, N.W.

Washington, DC 20006

(202) 496-4400

Enclosures